

DECISION RECORD OF A MEETING OF THE GOVERNANCE AND AUDIT COMMITTEE HELD IN REMOTELY - VIA MICROSOFT TEAMS ON WEDNESDAY, 22 JUNE 2022 AT 14:00

MINUTES OF A MEETING OF THE GOVERNANCE AND AUDIT COMMITTEE HELD IN REMOTELY - VIA MICROSOFT TEAMS ON WEDNESDAY, 22 JUNE 2022 AT 14:00

Present

Mr G Chapman – Chairperson

S J Griffiths  
RM Granville  
S Davies

D M Hughes  
S J Bletsoe  
B Olorunnisola

M L Hughes  
C Davies

A Williams  
A Bagley

Apologies for Absence

A Wathan

Officers:

R Freitag (Audit Wales),  
R Keepins (Democratic Services Manager),  
C Lord (Chief Officer - Finance, Performance & Change),  
S Roberts (Senior Fraud Investigator),  
D Exton (Deputy Head of Finance),  
M Thomas (Head of Regional Audit Service),  
S Clements (Audit Wales), MA Galvin (Interim Democratic Services Manager), A Rees (Democratic Services Officer - Committees),  
N Smith (Finance Manager), J Davies (Deputy Head of Regional Internal Audit Service)  
A Rawlin (Corporate Policy & Public Affairs Manager)

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| Title of Report | Election of Chairperson   |
| Decision Made   | Mr Gareth Chapman was elected Chairperson for the ensuing year. |

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| Date Decision Made                            | 22 June 2022 |
| Reasons for that decision                     | -            |
| Personal Interests Disclosed                  | -            |
| Consultation undertaken prior to the decision | -            |

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| Title of Report                               | Election of Vice Chairperson                                       |
| Decision Made                                 | Ms Susan Davies was elected Vice-Chairperson for the ensuing year. |
| Date Decision Made                            | 22 June 2022   |
| Reasons for that decision                     | -  |
| Personal Interests Disclosed                  | -  |
| Consultation undertaken prior to the decision | -  |

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| Title of Report              | Declarations of Interest |
| Decision Made                | None.                    |
| Date Decision Made           | 22 June 2022             |
| Reasons for that decision    | -                        |
| Personal Interests Disclosed | -                        |

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| Consultation undertaken prior to the decision | - |
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| Title of Report                               | Approval of Minutes  |
| Decision Made                                 | The minutes of the 15 March 2022 were approved as a true and accurate record |
| Date Decision Made                            | 22 June 2022   |
| Reasons for that decision                     | -  |
| Personal Interests Disclosed                  | -  |
| Consultation undertaken prior to the decision | -  |

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| Title of Report                               | Governance and Audit Committee Action Record                |
| Decision Made                                 | The Governance and Audit Committee noted the Action Record. |
| Date Decision Made                            | 22 June 2022  |
| Reasons for that decision                     | -   |
| Personal Interests Disclosed                  | -   |
| Consultation undertaken prior to the decision | -   |

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| Title of Report | Audit Wales Governance and Audit Committee Report |
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| Decision Made                                 | The Committee noted the Audit Wales Governance and Audit Committee Reports at Appendix A, B and C. |
| Date Decision Made                            | 22 June 2022   |
| Reasons for that decision                     | -  |
| Personal Interests Disclosed                  | -  |
| Consultation undertaken prior to the decision | -  |

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| Title of Report                               | Corporate Risk Assessment 2022-23   |
| Decision Made                                 | The Committee: <ul style="list-style-type: none"> <li>• Considered the Corporate Risk Assessment 2022-23 (Appendix A)</li> <li>• Noted that Corporate Management Board has agreed to discontinue the Incident and Near Miss Reporting Procedure.</li> </ul> |
| Date Decision Made                            | 22 June 2022  |
| Reasons for that decision                     | -   |
| Personal Interests Disclosed                  | -   |
| Consultation undertaken prior to the decision | -   |

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| Title of Report    | Annual Self-Assessment of the Council's Performance  |
| Decision Made      | The Committee reviewed and approved the proposed processes and arrangements for corporate self-assessment 2021/22. |
| Date Decision Made | 22 June 2022   |

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| Reasons for that decision                     | - |
| Personal Interests Disclosed                  | - |
| Consultation undertaken prior to the decision | - |

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| Title of Report                               | Annual Internal Audit Report 2021-22  |
| Decision Made                                 | That the Governance and Audit Committee gave due consideration to the Annual Internal Audit Report for the Financial Year 2021-22 including the Head of Internal Audit's Annual Opinion on the adequacy and effectiveness of the Council's framework of governance, risk management and internal control. |
| Date Decision Made                            | 22 June 2022  |
| Reasons for that decision                     | -   |
| Personal Interests Disclosed                  | -   |
| Consultation undertaken prior to the decision | -   |

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| Title of Report              | Regional Internal Audit Service Charter 2022-23  |
| Decision Made                | The Committee considered and approved the Regional Internal Audit Service Charter for 2022-23. |
| Date Decision Made           | 22 June 2022   |
| Reasons for that decision    | -  |
| Personal Interests Disclosed | -  |

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| Consultation undertaken prior to the decision | - |
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| Title of Report                               | Annual Internal Audit Strategy & Risk Based Plan 2022-23  |
| Decision Made                                 | The Committee considered and approved the draft Internal Audit Strategy (Appendix A) and draft Annual Risk Based Audit Plan for 2022-23 (Appendix B). |
| Date Decision Made                            | 22 June 2022  |
| Reasons for that decision                     | -   |
| Personal Interests Disclosed                  | -   |
| Consultation undertaken prior to the decision | -   |

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| Title of Report                               | Updated Forward Work Programme 2022-23  |
| Decision Made                                 | The Committee considered and approved the updated Forward Work Programme for 2022-23. |
| Date Decision Made                            | 22 June 2022  |
| Reasons for that decision                     | -   |
| Personal Interests Disclosed                  | -   |
| Consultation undertaken prior to the decision | -   |

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| Title of Report | Urgent Items |
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| Decision Made                                 | There were no urgent items. |
| Date Decision Made                            | 22 June 2022                |
| Reasons for that decision                     | -                           |
| Personal Interests Disclosed                  | -                           |
| Consultation undertaken prior to the decision | -                           |