

BRIDGEND COUNTY BOROUGH COUNCIL
REPORT TO GOVERNANCE AND AUDIT COMMITTEE

22 JUNE 2022

REPORT OF THE CHIEF OFFICER – FINANCE, PERFORMANCE AND CHANGE

CORPORATE RISK ASSESSMENT 2022-23

1. Purpose of report

- 1.1 The purpose of the report is to provide the Governance and Audit Committee with an updated Corporate Risk Assessment 2022-23, and to provide an update on the Incident and Near Miss (non-health and safety) occurrences.

2. Connection to corporate well-being objectives / other corporate priorities

- 2.1 This report assists in the achievement of the following corporate well-being objectives under the **Well-being of Future Generations (Wales) Act 2015**:

1. **Smarter use of resources** – ensuring that all resources (financial, physical, ecological, human and technological) are used as effectively and efficiently as possible and support the creation of resources throughout the community that can help to deliver the Council's well-being objectives.

3. Background

- 3.1 Good governance requires the Council to develop effective risk management processes, including an assessment of corporate risks.
- 3.2 The Governance and Audit Committee's Terms of Reference require the committee to review, scrutinise and issue reports and recommendations on the appropriateness of the Council's risk management, internal control and corporate governance arrangements.
- 3.3 The Corporate Risk Assessment is considered and reviewed by the Corporate Management Board (CMB), Senior Management Team, and Governance and Audit Committee, as part of the Council's quarterly Corporate Performance Assessment framework and is used to inform the Overview and Scrutiny Committees' Forward Work Programme and the budget process.
- 3.4 The 2022-23 Corporate Risk Assessment is aligned with the Council's Medium Term Financial Strategy and Corporate Plan.

4. Current situation/proposal.

- 4.1 The Corporate Risk Assessment, attached at **Appendix A**, has been reviewed in consultation with the Corporate Management Board. It identifies the main risks facing the Council, their link to the corporate well-being objectives under the Well-being of

Future Generations (Wales) Act 2015, the likely impact of these risks on Council services and the wider County Borough, and identifies what is being done to manage the risks and who is responsible for the Council's response. The risk assessment is aligned with the Medium Term Financial Strategy.

Two new risks have been added to the Corporate Risk Register
COR – 2022 – 01, and
COR – 2022 – 02

There are currently 14 risks on the Corporate Risk Register

	Score	No. of Risks
Low	1-9	2
Medium	10-12	5
High	15-25	7

Risk SS-2019-01 has been updated to reflect the improvement plan implemented by Social Services and the appointment of a new Corporate Safeguarding Officer.

- 4.2 An audit by SWAP Internal Audit Services recommended that the Incident and Near Miss Reporting Procedure be discontinued as it presents an unnecessary and inappropriate layer of control and very few incidents have been reported over the years. Corporate Management Board supports this view and has agreed that the Incident and Near Miss Reporting Procedure be discontinued.

5. Effect upon policy framework and procedure rules

- 5.1 There are no implications upon policy framework and procedural rules.

6. Equality Act 2010 implications

The protected characteristics identified within the Equality Act, Socio-economic Duty and the impact on the use of the Welsh language have been considered in the preparation of this report. As a public body in Wales, the Council must consider the impact of strategic decisions, such as the development or the review of policies, strategies, services and functions. This is an information report, therefore it is not necessary to carry out an EIA in the production of this report. It is considered that there will be no significant or unacceptable equality impacts as a result of this report

7. Wellbeing of Future Generations (Wales) Act 2015 implications

- 7.1 The well-being goals identified in the Act were considered in the preparation of this report. It is considered that there will be no significant or unacceptable impacts upon the achievement of well-being goals/objectives as a result of this report.

8. Financial implications

- 8.1 There are no financial implications directly associated with the Corporate Risk Assessment. Implementation actions will be progressed within approved budgets.

9. Recommendations

9.1 It is recommended that the Committee:

- Consider the Corporate Risk Assessment 2022-23 (**Appendix A**)
- Note that Corporate Management Board has agreed to discontinue the Incident and Near Miss Reporting Procedure

Carys Lord
Chief Officer – Finance, Performance and Change
22 June 2022

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Background documents

None